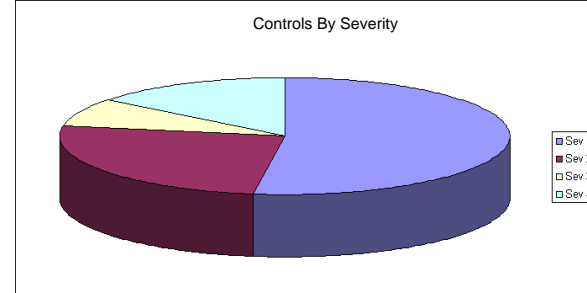
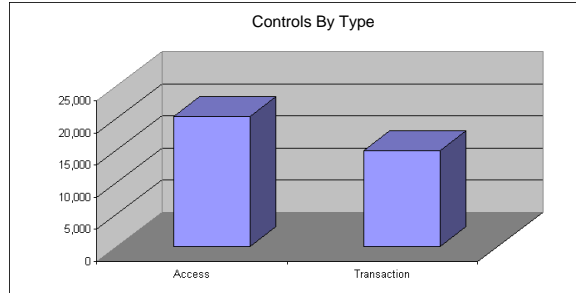


Manage Controls

Manage Controls

Tasks

- Manage Controls
- Manage Access Entitlements
- Manage Access Global Conditions
- Create Access Control
- Create Transaction Control
- Manage Transaction Models
- Create Transaction Model
- Manage Participant Groups



Change History

Latest Version V4 21-Nov-2009

Date	Revision
21-Nov-2009	4
12-Nov-2009	3
01-Jan-2009	2
01-Jan-2009	1

Controls

Saved Views All Controls

Actions View | Assign Add Comments Run Schedule Cancel Process

ID	Name	Priority	Status	Type	Risk	Comments	Run Status	Last Run Date	Last Updated Date	Issues
P001	Invoice-Receipt Amount Mis...	5	Active	Transaction	Financial Fraud		Not Started		21-Nov-09	
P002	Year-End Sox Compliance...	1	Active	Transaction	Financial Fraud		Completed	17-Jan-08	17-Jan-08	21
P003	Segregation of Duties	2	Active	Access	Breach of Security		Error	05-Dec-07	05-Dec-07	6
P004	Control XYZ	1	Inactive	Transaction	Financial Fraud		Completed	21-Apr-08	21-Apr-08	1
P005	Audit Model for Duplicate...	1	Active	Access	Financial Fraud		Completed	12-Mar-08	12-Mar-08	4
P006	Checks for 3-Way Matching	1	Inactive		Financial Fraud		Not Started			
P007	Customer Over Credit Limit	3	Active				Not Started			
P008	Invoice-Receipt Amount Mis...	2	Active		Financial Fraud		Not Started			
P009	New Policy 20	2	Active		Financial Fraud		Not Started			
P010	New Policy 21	1	Active				Not Started			
P011	New Policy 22	1	Active		Breach of Security		Not Started			
P012	New Policy 23	1	Active		Financial Fraud		Not Started			
P013	New Policy 24	8	Active		Breach of Security		Not Started			
P014	New Policy 25	2	Inactive		Financial Fraud		Not Started			
P015	New Policy 26	1	Active		Financial Fraud		Not Started			
P016	New Policy 27	2	Active		Financial Fraud		Not Started			
P017	New Policy 28	4	Active		Financial Fraud		Not Started			
P018	New Policy 29	1	Active		Breach of Security		Not Started			
P019	New Policy 30	1	Active		Financial Fraud		Not Started			

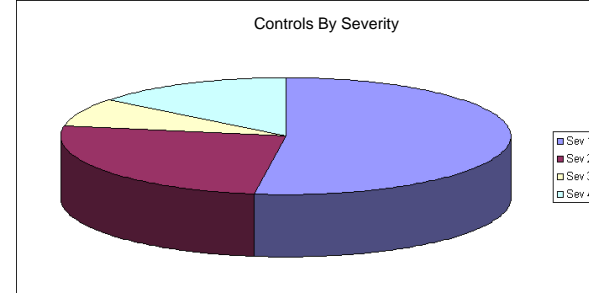
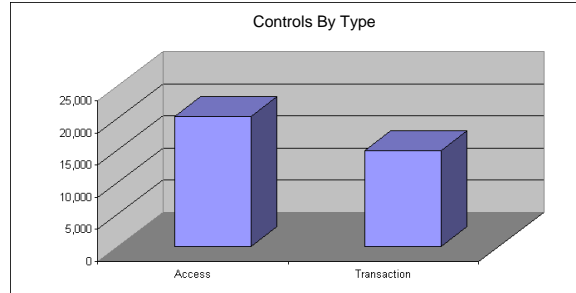
Total Rows: 28 | Rows Selected: 0 | Columns Hidden: 0 | Columns Frozen: 0

Manage Controls

Manage Controls

Tasks

- Manage Controls
- Manage Access Entitlements
- Manage Access Global Conditions
- Create Access Control
- Create Transaction Control
- Manage Transaction Models
- Create Transaction Model
- Manage Participant Groups



Change History

Latest Version **V4 21-Nov-2009**

Date	Revision
21-Nov-2009	4
12-Nov-2009	3
01-Jan-2009	2
01-Jan-2009	1

Controls

Saved Views **All Controls**

Actions View | Assign Add Comments Run Schedule Cancel Process

Open	Edit	Copy	Assign	Add Comments	Run	Schedule	Cancel Process	Priority	Status	Type	Risk	Comments	Run Status	Last Run Date	Last Updated Date	Issues
								5	Active	Transaction	Financial Fraud		Not Started		14-Jan-09	
								1	Active	Transaction	Financial Fraud		Completed	17-Jan-08	17-Jan-08	21
								2	Active	Access	Breach of Security		Error	05-Dec-07	05-Dec-07	6
								1	Inactive	Transaction	Financial Fraud		Completed	21-Apr-08	21-Apr-08	1
								1	Active	Access	Financial Fraud		Completed	12-Mar-08	12-Mar-08	4
								1	Inactive		Financial Fraud		Not Started			
								3	Active				Not Started			
								2	Active		Financial Fraud		Not Started			
								2	Active		Financial Fraud		Not Started			
								1	Active				Not Started			
								1	Active		Breach of Security		Not Started			
								1	Active		Financial Fraud		Not Started			
								8	Active		Breach of Security		Not Started			
								2	Inactive		Financial Fraud		Not Started			
								1	Active		Financial Fraud		Not Started			
								2	Active		Financial Fraud		Not Started			
								4	Active		Financial Fraud		Not Started			
								1	Active		Breach of Security		Not Started			
								1	Active		Financial Fraud		Not Started			

Total Rows: 28 | Rows Selected: 0 | Columns Hidden: 0 | Columns Frozen: 0

Tasks

- Manage Controls
- Manage Access Entitlements
- Manage Access Global Conditions
- Create Access Control
- Create Transaction Control
- Manage Transaction Models
- Create Transaction Model
- Manage Participant Groups

### Create Transaction Control: Choose Model

Back Next Submit Cancel

#### Available Models

Actions View

Name	Description	Type	Created By	Updated	View Results
Vendor Invoices Exceeding \$500k	Show Vendors or Buyers where ( Vendor.Location = U.S.	Defined	P.Starr	23-Feb-09	
Three-Way Match	Three-Way Match	Defined	P.Starr	22-Feb-09	
Invoices on Hold	Invoices on Hold	Defined	M. Parr	20-Feb-09	
Invoices greater than 200k	Invoices greater than 200k	Defined	P.Starr	15-Feb-09	
Inactive Inventory	Inactive Inventory	Defined	M. Parr	10-Jan-09	
Model 1	Model 1 Description	Defined	M. Parr	21-Dec-08	
Model 2	Model 2 Description	Defined	M. Parr	04-Dec-08	
Model 3	Model 3 Description	Defined	M. Parr	04-Dec-08	
Model 4	Model 4 Description	Defined	M. Parr	04-Dec-08	
Model 5	Model 5 Description	Defined	M. Parr	21-Oct-08	

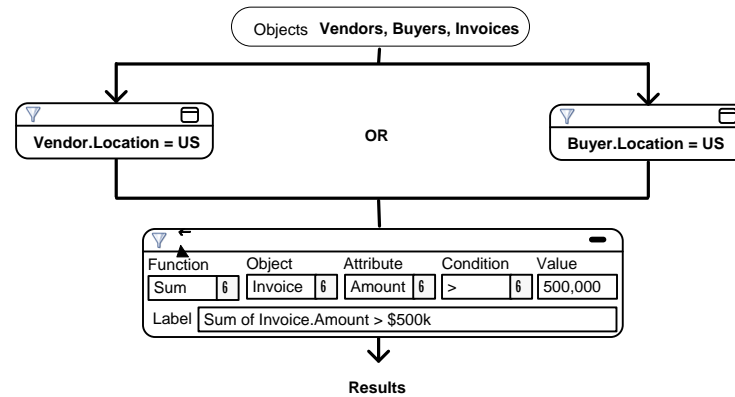
Total Rows: 28 | Rows Selected: 1 | Columns Hidden: 0 | Columns Frozen: 0

#### Vendor Invoices Exceeding \$500K: Model Logic

View Results

Logic Output Parameters

Description Show Vendors, Buyers, Invoices where ( Vendor.Location = U.S. or Buyer.Location = U.S. ) and the Sum of Invoice.Amount > \$500k



- Tasks
- Manage Controls
- Manage Access Entitlements
- Manage Access Global Conditions
- Create Access Control
- Create Transaction Control
- Manage Transaction Models
- Create Transaction Model
- Manage Participant Groups

### Create Transaction Control: Choose Model

[Back](#) [Next](#) | [Submit](#) [Cancel](#)

#### Available Models

Actions | View

Name	Description	Type	Created By	Updated	View Results
Vendor Invoices Exceeding \$500k	Show Vendors or Buyers where ( Vendor.Location = U.S.	Defined	P.Starr	23-Feb-09	
Three-Way Match	Three-Way Match	Defined	P.Starr	22-Feb-09	
Invoices on Hold	Invoices on Hold	Defined	M. Parr	20-Feb-09	
Invoices greater than 200k	Invoices greater than 200k	Defined	P.Starr	15-Feb-09	
Inactive Inventory	Inactive Inventory	Defined	M. Parr	10-Jan-09	
Model 1	Model 1 Description	Defined	M. Parr	21-Dec-08	
Model 2	Model 2 Description	Defined	M. Parr	04-Dec-08	
Model 3	Model 3 Description	Defined	M. Parr	04-Dec-08	
Model 4	Model 4 Description	Defined	M. Parr	04-Dec-08	
Model 5	Model 5 Description	Defined	M. Parr	21-Oct-08	

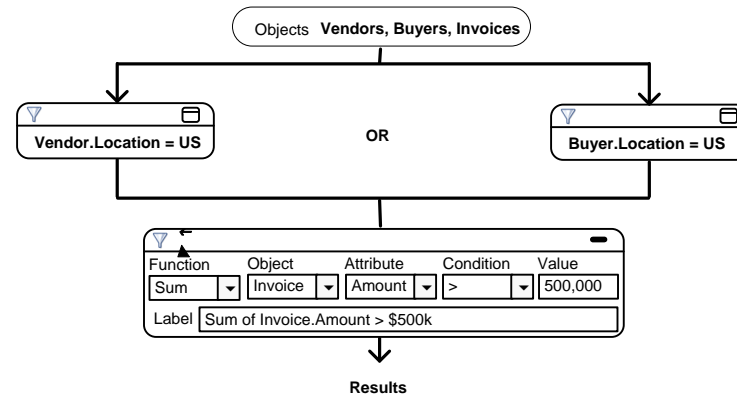
Total Rows: 28 | Rows Selected: 1 | Columns Hidden: 0 | Columns Frozen: 0

#### Vendor Invoices Exceeding \$500K: Model Logic

[View Results](#)

**Logic** | [Output Parameters](#)

Description Show Vendors, Buyers, Invoices where ( Vendor.Location = U.S. or Buyer.Location = U.S. ) and the Sum of Invoice.Amount > \$500k



Back Next | Submit Cancel

- Tasks**
- Manage Controls
  - Manage Access Entitlements
  - Manage Access Global Conditions
  - Create Access Control
  - Create Transaction Control
  - Manage Transaction Models
  - Create Transaction Model
  - Manage Participant Groups

### Create Control: Define Control Details

#### Models

View ▾

Show Logic

Name	Description
Vendor invoices Exceeding \$500k	Vendor Invoices Exceeding \$500K
Invoices greater than 200k	Invoices greater than 200k

#### Details

\*Priority

\*Status

\*Data Sources

Related Controls

#### Tags

##### Available Tags

- Business Process
  - Financial Management
  - IT
  - Manufacturing
  - Order to Cash
  - Procure to Pay
- Risk
  - Breach of Security
  - Financial Fraud
  - Regulatory Compliance
  - Theft of Assets
  - Theft of Services
- User-defined

##### Selected Tags

Move  
Move All  
Remove  
Remove All

Manage Tags

#### Participants

Actions ▾ + ✗

Manage Groups

Participant	Type	Effective Date	Assign Issues	Notify	Status

#### Comments

Add Comments

- Tasks**
- Manage Controls
  - Manage Access Entitlements
  - Manage Access Global Conditions
  - Create Access Control
  - Create Transaction Control
  - Manage Transaction Models
  - Create Transaction Model
  - Manage Participant Groups

### Create Control: Define Control Details

Back Next | Submit Cancel

#### Models

View Show Logic

Name	Description
Vendor invoices Exceeding \$500k	Vendor Invoices Exceeding \$500K
Invoices greater than 200k	Invoices greater than 200k

#### Details

\*Priority

\*Status

\*Data Sources

Related Controls

#### Tags

**Available Tags**

- [-] Business Process
  - ... Financial Management
  - ... IT
  - ... Manufacturing
  - ... Order to Cash
  - ... Procure to Pay
- [-] Risk
  - ... Breach of Security
  - ... Financial Fraud
  - ... Regulatory Compliance
  - ... Theft of Assets
  - ... Theft of Services
- [+] User-defined

Manage Tags

**Selected Tags**

Move All

Remove

Remove All

#### Participants

Actions + × Manage Groups

Participant	Type	Effective Date	Assign Issues	Notify	Status

#### Comments

Add Comments

- Tasks**
- Manage Controls
  - Manage Access Entitlements
  - Manage Access Global Conditions
  - Create Access Control
  - Create Transaction Control
  - Manage Transaction Models
  - Create Transaction Model
  - Manage Participant Groups

### Create Control: Define Control Details

Back Next Submit Cancel

#### Models

View Show Logic

Name	Description
Vendor invoices Exceeding \$500k	Vendor Invoices Exceeding \$500K
Invoices greater than 200k	Invoices greater than 200k

#### Details

\*Priority

\*Status

\*Data Sources

Related Controls

#### Tags

**Available Tags**

- [-] Business Process
  - ... Financial Management
  - ... IT
  - ... Manufacturing
  - ... Order to Cash
  - ... Procure to Pay
- [-] Risk
  - ... Breach of Security
  - ... Financial Fraud
  - ... Regulatory Compliance
  - ... Theft of Assets
  - ... Theft of Services
- [+] User-defined

Manage Tags

**Selected Tags**

M&A

Move All

Remove

Remove All

#### Participants

Actions + × Manage Groups

Participant	Type	Effective Date	Assign Issues	Notify	Status
<input type="text"/>	User		Yes	Yes	Active

#### Comments

Add Comments

- Tasks**
- Manage Controls
  - Manage Access Entitlements
  - Manage Access Global Conditions
  - Create Access Control
  - Create Transaction Control
  - Manage Transaction Models
  - Create Transaction Model
  - Manage Participant Groups

### Create Control: Define Control Details

Back Next | Submit Cancel

#### Models

View Show Logic

Name	Description
Vendor invoices Exceeding \$500k	Vendor Invoices Exceeding \$500K
Invoices greater than 200k	Invoices greater than 200k

#### Details

\*Priority

\*Status

\*Data Sources

Related Policies

#### Tags

##### Available Tags

- Business Process
  - Financial Management
  - IT
  - Manufacturing
  - Order to Cash
  - Procure to Pay
- Risk
  - Breach of Security
  - Financial Fraud
  - Regulatory Compliance
  - Theft of Assets
  - Theft of Services
- User-defined

##### Selected Tags

Move All

Remove

Remove All

Manage Tags

#### Participants

Actions   Manage Groups

Participant	Type	Effective Date	Assign Issues	Notify	Status
Bob James	User	1/21/2010	Yes	Yes	Active
NA-Purch	Group	1/21/2010	Yes	No	Active

#### Comments

Add Comments



Back Next | Submit Cancel

- Tasks**
- Manage Controls
  - Manage Access Entitlements
  - Manage Access Global Conditions
  - Create Access Control
  - Create Transaction Control
  - Manage Transaction Models
  - Create Transaction Model
  - Manage Participant Groups

Create Control: Define Control Details

Models	
Name	Description
Vendor invoices Exceeding \$500k	Vendor Invoices Exceeding \$500K
Invoices greater than 200k	Invoices greater than 200k

**Details**

\*Priority

\*Status

\*Data Sources

Related Controls

**Tags**

**Available Tags**

- Business Process
  - Financial Management
  - IT
  - Manufacturing
  - Order to Cash
  - Procure to Pay
- Risk
  - Breach of Security
  - Financial Fraud
  - Regulatory Compliance
  - Theft of Assets
  - Theft of Services
  - User-defined

**Selected Tags**

Move All

Remove

Remove All

**Participants**

Actions

Participant	Type	Effective Date	Assign Issues	Notify	Status
Bob James	User	1/21/2010	<input type="checkbox"/>	<input type="checkbox"/>	Active
NA-Purch	Group	1/21/2010	<input type="checkbox"/>	<input type="checkbox"/>	Active

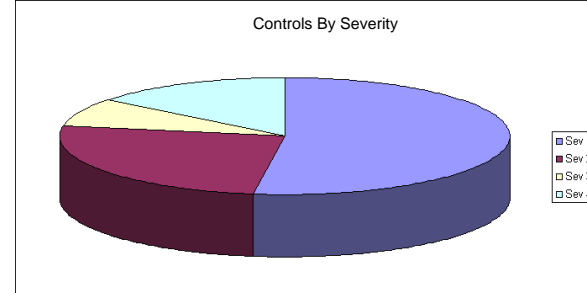
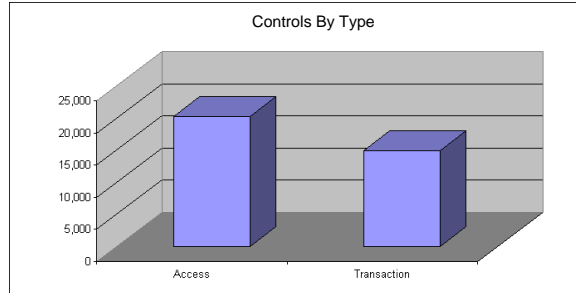
**Comments**

Manage Controls

Manage Controls

Tasks

- Manage Controls
- Manage Access Entitlements
- Manage Access Global Conditions
- Create Access Control
- Create Transaction Control
- Manage Transaction Models
- Create Transaction Model
- Manage Participant Groups



Change History

Latest Version V1 28-Jan-2010

Date	Revision

Controls

Saved Views All Controls

Actions View | | | Assign Add Comments Run Schedule Cancel Process

ID	Name	Priority	Status	Type	Risk	Comments	Run Status	Last Run Date	Last Updated Date	Issues
P001	Vendor Invoices Exceeding...	5	Active	Transaction			Not Started		28-Jan-10	
P002	Invoices greater than 200k	5	Active	Transaction			Not Started		28-Jan-09	
P003	Segregation of Duties	2	Active	Access	Breach of Security		Error	05-Dec-07	05-Dec-07	6
P004	Invoices by Region	1	Inactive	Transaction	Financial Fraud		Completed	21-Apr-08	21-Apr-08	1
P005	Audit Model for Duplicate...	1	Active	Access	Financial Fraud		Completed	12-Mar-08	12-Mar-08	4
P006	Checks for 3-Way Matching	1	Inactive		Financial Fraud		Not Started			
P007	Customer Over Credit Limit	3	Active				Not Started			
P008	Invoice-Receipt Amount Mis...	2	Active		Financial Fraud		Not Started			
P009	New Policy 20	2	Active		Financial Fraud		Not Started			
P010	New Policy 21	1	Active				Not Started			
P011	New Policy 22	1	Active		Breach of Security		Not Started			
P012	New Policy 23	1	Active		Financial Fraud		Not Started			
P013	New Policy 24	8	Active		Breach of Security		Not Started			
P014	New Policy 25	2	Inactive		Financial Fraud		Not Started			
P015	New Policy 26	1	Active		Financial Fraud		Not Started			
P016	New Policy 27	2	Active		Financial Fraud		Not Started			
P017	New Policy 28	4	Active		Financial Fraud		Not Started			
P018	New Policy 29	1	Active		Breach of Security		Not Started			
P019	New Policy 30	1	Active		Financial Fraud		Not Started			

Total Rows: 28 | Rows Selected: 0 | Columns Hidden: 0 | Columns Frozen: 0

Submit Cancel

- Tasks**
- Manage Controls
  - Manage Access Entitlements
  - Manage Access Global Conditions
  - Create Access Control
  - Create Transaction Control
  - Manage Transaction Models
  - Create Transaction Model
  - Manage Participant Groups

### Create Access Control: Define Control Details

**Details**

**Control Information**

\* Name:

Description:

\* Priority:

\* Status:

\* Enforcement Type:

\* Data Sources:

Related Policies:

**Tags**

**Available Tags**

- Business Process
  - Financial Management
  - IT
  - Manufacturing
  - Order to Cash
  - Procure to Pay
- Risk
  - Breach of Security
  - Financial Fraud
  - Regulatory Compliance
  - Theft of Assets
  - Theft of Services
- User-defined

**Selected Tags**

Move All

Remove

Remove All

Manage Tags

**Participants**

Actions Manage Groups

Participant	Type	Effective Date	Assign Issues	Notify	Status
Bob James	User	1/21/2010	Yes	Yes	Active
NA-Purch	Group	1/21/2010	Yes	Yes	Active

**Control Logic**

Logic Conditions

Create Suppliers & Create Payments or Vouchers

OR AND Access Points\* Delete

Element Name	Description	Datasource	Platform	Element Type	Control	Operator
AND						
OR						
Create Payments(3)	R12 Create Payments			Entitlement		OR
Create Vouchers(1)	People Soft Create Vouchers			Entitlement		OR
OR						
People Soft Create	People Soft Create Suppliers			Entitlement		OR
Create Suppliers(1)	R12 Create Suppliers			Entitlement		OR

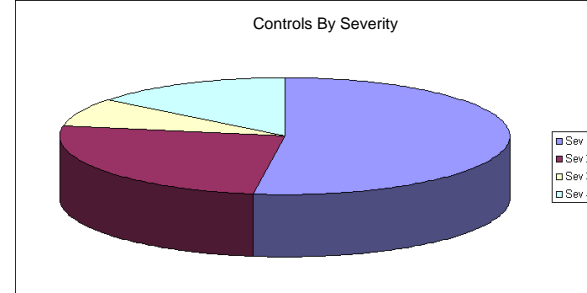
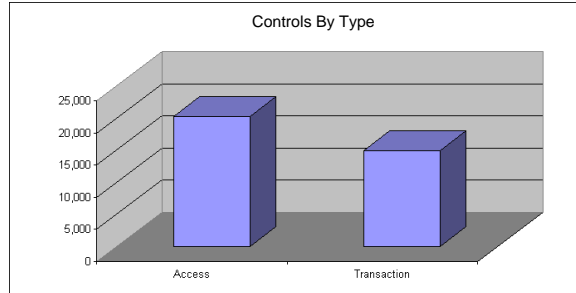
(((Entitlement)Create Payments(3) - (((Function)AP\_APXPAWB\_BATCH(instance: EBS R12-TMP)), (Function)AP\_APXPAWB\_CHECK\_ACTIONS(instance: EBS R12-TMP)), (Function)AP\_APXPAWB(instance: EBS R12-TMP)), (Function)AP\_APXPAWB\_INVOICES(instance: EBS R12-TMP)), (Function)AP\_APXSET(instance: EBS R12-TMP)), (Function)AP\_APXSLM(instance: EBS R12-TMP))) OR ((Entitlement)Create Vouchers(1) - ((Page Definition)CHR\_PAYMENT\_PNL(instance: PSFT 9.0 FIN-202)), (Page Definition)CHR\_STATUS\_IA(instance: PSFT 9.0 FIN-202)), (Page Definition)CHR\_EXPRESS(instance: PSFT 9.0 FIN-202))))AND(((Entitlement)People Soft

Manage Controls

Manage Controls

Tasks

- Manage Controls
- Manage Access Entitlements
- Manage Access Global Conditions
- Create Access Control
- Create Transaction Control
- Manage Transaction Models
- Create Transaction Model
- Manage Participant Groups



Controls

Saved Views All Controls

Actions View | | | Assign Add Comments Run Schedule Cancel Process

ID	Name	Priority	Status	Type	Risk	Comments	Run Status	Last Run Date	Last Updated Date	Issues
P003	<a href="#">Create Supplier &amp; Pay Supp...</a>	2	Active	Access			Not Started		28-Jan-10	
P001	<a href="#">Vendor Invoices exceeding ...</a>	5	Active	Transaction			Not Started		28-Jan-10	
P002	<a href="#">Invoices greater than 200k</a>	5	Active	Transaction			Not Started		28-Jan-10	
P004	<a href="#">Segregation of Duties</a>	1	Inactive	Access	Financial Fraud		Completed	21-Apr-08	21-Apr-08	1
P005	<a href="#">Audit Model for Duplicate...</a>	1	Active	Transaction	Financial Fraud		Completed	12-Mar-08	12-Mar-08	4
P006	<a href="#">Checks for 3-Way Matching</a>	1	Inactive		Financial Fraud		Not Started			
P007	<a href="#">Customer Over Credit Limit</a>	3	Active				Not Started			
P008	<a href="#">Invoice-Receipt Amount Mis...</a>	2	Active		Financial Fraud		Not Started			
P009	<a href="#">New Policy 20</a>	2	Active		Financial Fraud		Not Started			
P010	<a href="#">New Policy 21</a>	1	Active				Not Started			
P011	<a href="#">New Policy 22</a>	1	Active		Breach of Security		Not Started			
P012	<a href="#">New Policy 23</a>	1	Active		Financial Fraud		Not Started			
P013	<a href="#">New Policy 24</a>	8	Active		Breach of Security		Not Started			
P014	<a href="#">New Policy 25</a>	2	Inactive		Financial Fraud		Not Started			
P015	<a href="#">New Policy 26</a>	1	Active		Financial Fraud		Not Started			
P016	<a href="#">New Policy 27</a>	2	Active		Financial Fraud		Not Started			
P017	<a href="#">New Policy 28</a>	4	Active		Financial Fraud		Not Started			
P018	<a href="#">New Policy 29</a>	1	Active		Breach of Security		Not Started			
P019	<a href="#">New Policy 30</a>	1	Active		Financial Fraud		Not Started			

Total Rows: 28 | Rows Selected: 0 | Columns Hidden: 0 | Columns Frozen: 0

- Tasks**
- Manage Controls
  - Manage Access Entitlements
  - Manage Access Global Conditions
  - Create Access Control
  - Manage Transaction Models
  - Create Transaction Model
  - Manage Participant Groups

## Vendor Invoices Exceeding \$500K Edit Done

### General Information

Control ID	<b>P001</b>	Type	Defined
Name	Vendor Invoices Exceeding \$500K		
Description	<b>Show Vendors, Buyers, Invoices where ( Vendor.Location = U.S. or Buyer.Location = U.S. ) and the Sum of Invoice.Amount &gt; \$500K</b>		
Status	Active	Priority	5
Date Last Run	12-Jan-2008	Date Created	12-Jan-2008
Date Last Updated	12-Jan-2008		
Data Sources	EBS Prod 1; EBS Prod 2		
Related Controls	<a href="#">Invoices Greater than \$200K</a>		

### Tags

Category	Values
Risk	Financial Fraud
Business Process	Procure to Pay

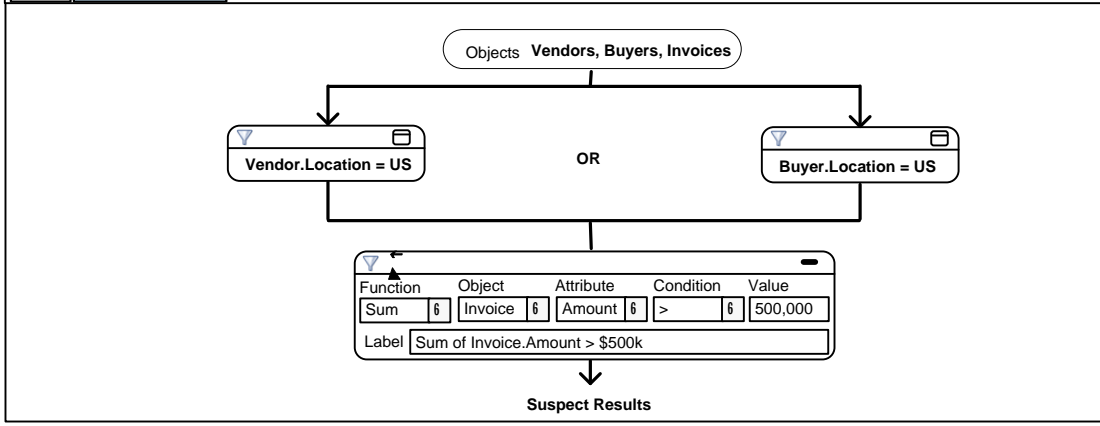
### Participants

Actions + × Manage Groups

Participant	Type	Effective Date	Assign Issues	Notify	Status
Bob James	User	1/21/2010	Yes	Yes	Active
NA-Purch	Group	1/21/2010	Yes	Yes	Active

### Control Logic

**Logic** Output Parameters



### Comments

- Add Comments
- 09 Dec 2007, 10:35 am PDT** Steve Ginsberg  
Lorem ipsum dolor sit amet, consectetur adipisicing elit, sed do eiusmod tempor incididunt ut labore et dolore magna aliqua
  - 08 Dec 2007, 10:35 am PDT** Steve Ginsberg  
Lorem ipsum dolor sit amet, consectetur adipisicing elit, sed do eiusmod tempor incididunt ut labore et dolo

**Tasks**

- Manage Controls
- Manage Access Entitlements
- Manage Access Global Conditions
- Create Access Control
- Create Transaction Control
- Manage Transaction Models
- Create Transaction Model
- Manage Participant Groups

### Edit Control: Vendor Invoices Exceeding \$500K

Save Save and Close Cancel

**General Information**

Name: Vendor Invoices Exceeding \$500K

Description: Show Vendors, Buyers, Invoices where ( Vendor.Location = U.S. or Buyer.Location = U.S. ) and the Sum of Invoice.Amount > \$500K

Status: Active Priority: 5

Date Last Run: 12-Jan-2008 Date Created: 12-Jan-2008

Date Last Updated: 12-Jan-2008

Data Sources: EBS Prod 1, EBS Prod 2

Related Controls: Invoices Greater than \$200K

**Tags**

Available Tags

- Business Process
  - Financial Management
  - IT
  - Manufacturing
  - Order to Cash
  - Procure to Pay
- Risk
  - Breach of Security
  - Financial Fraud
  - Regulatory Compliance
  - Theft of Assets
  - Theft of Services
  - User-defined

Selected Tags

- Move All
- Remove
- Remove All

Manage Tags

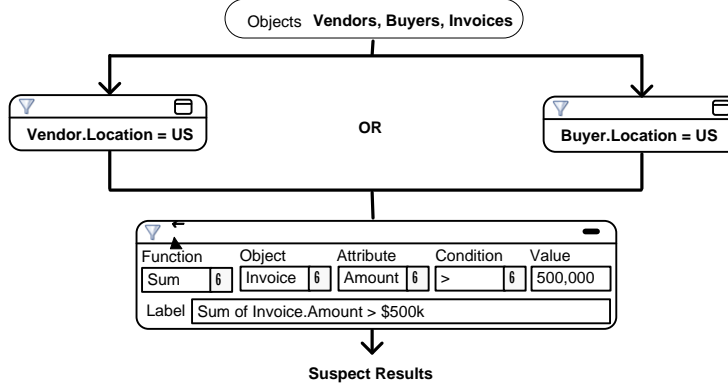
**Participants**

Actions + X Manage Groups

Participant	Type	Effective Date	Assign Issues	Notify	Status
Bob James	User	1/21/2010	Yes	Yes	Active
NA-Purch	Group	1/21/2010	Yes	No	Active

**Control Logic**

Logic Output Parameters

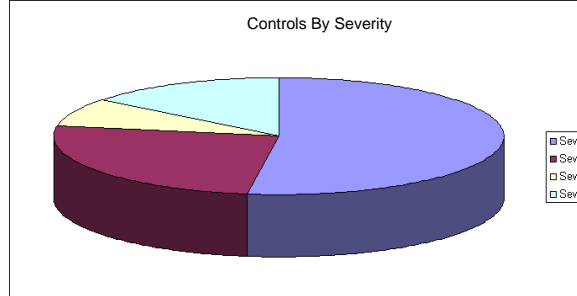
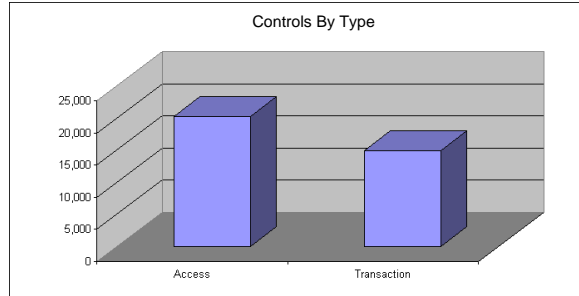


Manage Controls

Manage Controls

Tasks

- Manage Controls
- Manage Access Entitlements
- Manage Access Global Conditions
- Create Access Control
- Create Transaction Control
- Manage Transaction Models
- Create Transaction Model
- Manage Participant Groups



Change History

Latest Version V1 28-Jan-2010

Date	Revision
28-Jan-2010	1

Controls

Saved Views All Controls

Actions View | Assign Add Comments Run Schedule Cancel Process

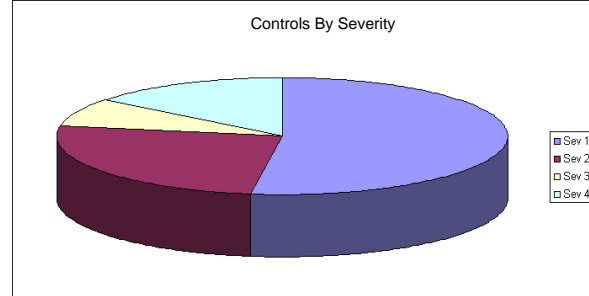
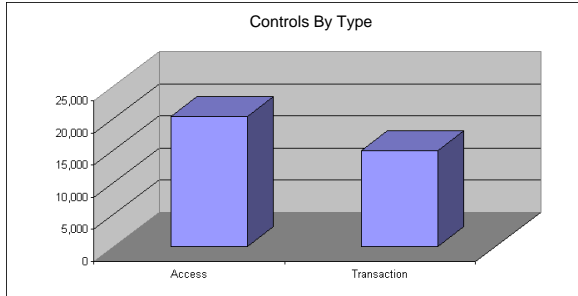
ID	Name	Priority	Status	Type	Risk	Comments	Run Status	Last Run Date	Last Updated Date	Issues
P001	Vendor Invoices exceeding ...	1	Active	Transaction	Financial Fraud		Not Started		28-Jan-10	
P002	Invoices greater than 200k	5	Active	Transaction	Financial Fraud		Not Started		28-Jan-10	
P003	Create Supplier & Pay Sup...	2	Active	Access	Breach of Security		Not Started		28-Jan-10	
P004	Segregation of Duties	1	Inactive	Access	Financial Fraud		Completed	21-Apr-08	21-Apr-08	1
P005	Audit Model for Duplicate...	1	Active	Transaction	Financial Fraud		Completed	12-Mar-08	12-Mar-08	4
P006	Checks for 3-Way Matching	1	Inactive		Financial Fraud		Not Started			
P007	Customer Over Credit Limit	3	Active				Not Started			
P008	Invoice-Receipt Amount Mis...	2	Active		Financial Fraud		Not Started			
P009	New Policy 20	2	Active		Financial Fraud		Not Started			
P010	New Policy 21	1	Active				Not Started			
P011	New Policy 22	1	Active		Breach of Security		Not Started			
P012	New Policy 23	1	Active		Financial Fraud		Not Started			
P013	New Policy 24	8	Active		Breach of Security		Not Started			
P014	New Policy 25	2	Inactive		Financial Fraud		Not Started			
P015	New Policy 26	1	Active		Financial Fraud		Not Started			
P016	New Policy 27	2	Active		Financial Fraud		Not Started			
P017	New Policy 28	4	Active		Financial Fraud		Not Started			
P018	New Policy 29	1	Active		Breach of Security		Not Started			
P019	New Policy 30	1	Active		Financial Fraud		Not Started			

Total Rows: 28 | Rows Selected: 0 | Columns Hidden: 0 | Columns Frozen: 0

Manage Controls

Manage Controls

- Tasks**
- Manage Controls
  - Manage Access Entitlements
  - Manage Access Global Conditions
  - Create Access Control
  - Create Transaction Control
  - Manage Transaction Models
  - Create Transaction Model
  - Manage Participant Groups



**Change History**

Latest Version **V1 28-Jan-2010**

Date	Revision
28-Jan-2010	1

**Controls**

Saved Views: All Controls

Actions: View | Assign | Add Comments | Run | Schedule | Cancel Process

Open	Edit	Copy	Assign	Add Comments	Run	Schedule	Cancel Process	Priority	Status	Type	Risk	Comments	Run Status	Last Run Date	Last Updated Date	Issues
eeding ...								1	Active	Transaction	Financial Fraud		Not Started		28-Jan-10	
200k								5	Active	Transaction	Financial Fraud		Not Started		28-Jan-10	
ay Sup...								2	Active	Access	Breach of Security		Not Started		28-Jan-10	
s								1	Inactive	Access	Financial Fraud		Completed	21-Apr-08	21-Apr-08	1
cate...								1	Active	Transaction	Financial Fraud		Completed	12-Mar-08	12-Mar-08	4
atching								1	Inactive		Financial Fraud		Not Started			
it Limit								3	Active				Not Started			
unt Mis...								2	Active		Financial Fraud		Not Started			
P009 New Policy 20								2	Active		Financial Fraud		Not Started			
P010 New Policy 21								1	Active				Not Started			
P011 New Policy 22								1	Active		Breach of Security		Not Started			
P012 New Policy 23								1	Active		Financial Fraud		Not Started			
P013 New Policy 24								8	Active		Breach of Security		Not Started			
P014 New Policy 25								2	Inactive		Financial Fraud		Not Started			
P015 New Policy 26								1	Active		Financial Fraud		Not Started			
P016 New Policy 27								2	Active		Financial Fraud		Not Started			
P017 New Policy 28								4	Active		Financial Fraud		Not Started			
P018 New Policy 29								1	Active		Breach of Security		Not Started			
P019 New Policy 30								1	Active		Financial Fraud		Not Started			

Total Rows: 28 | Rows Selected: 0 | Columns Hidden: 0 | Columns Frozen: 0

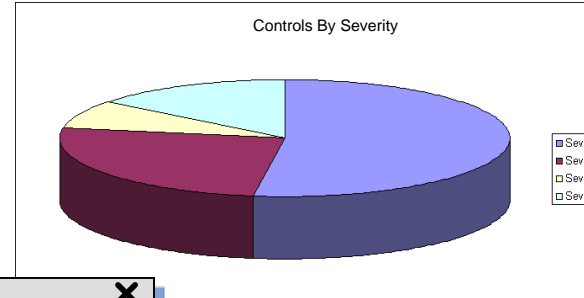
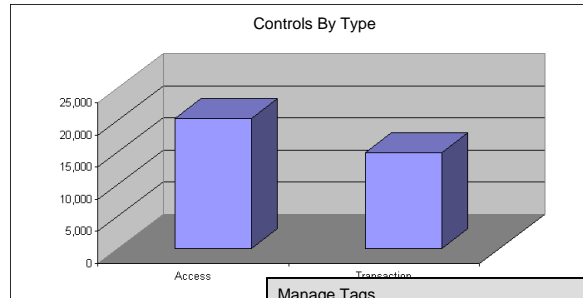


Manage Controls

Manage Controls

Tasks

- Manage Controls
- Manage Access Entitlements
- Manage Access Global Conditions
- Create Access Control
- Create Transaction Control
- Manage Transaction Models
- Create Transaction Model
- Manage Participant Groups



Change History

Latest Version V4 21-Nov-2009

Date	Revision
21-Nov-2009	4
12-Nov-2009	3
01-Jan-2009	2
01-Jan-2009	1

Controls

Saved Views All Controls

Actions View

ID	Name
P001	Invoice-Receipt Amount Mis...
P002	Year-End Sox Compliance..
P003	Segregation of Duties
P004	Invoices greater than 200k
P005	Audit Model for Duplicate...

Manage Tags

Actions +

Name	Status
Business Process	Active
Order To Cash	Active
Procure To Pay	Active
Risk	Active
Overstated Assets	Active
Understated Liabilities	Active
Regulatory Compliance	Active

OK Cancel

ID	Name	Count	Status	Category	Run Status	Last Run Date	Last Updated Date	Issues
P006	Checks for 3-Way Matching	1	Inactive	Financial Fraud	Not Started			
P007	Customer Over Credit Limit	3	Active		Not Started			
P008	Invoice-Receipt Amount Mis...	2	Active	Financial Fraud	Not Started			
P009	New Policy 20	2	Active	Financial Fraud	Not Started			
P010	New Policy 21	1	Active		Not Started			
P011	New Policy 22	1	Active	Breach of Security	Not Started			
P012	New Policy 23	1	Active	Financial Fraud	Not Started			
P013	New Policy 24	8	Active	Breach of Security	Not Started			
P014	New Policy 25	2	Inactive	Financial Fraud	Not Started			
P015	New Policy 26	1	Active	Financial Fraud	Not Started			
P016	New Policy 27	2	Active	Financial Fraud	Not Started			
P017	New Policy 28	4	Active	Financial Fraud	Not Started			
P018	New Policy 29	1	Active	Breach of Security	Not Started			
P019	New Policy 30	1	Active	Financial Fraud	Not Started			

Total Rows: 28 | Rows Selected: 0 | Columns Hidden: 0 | Columns Frozen: 0

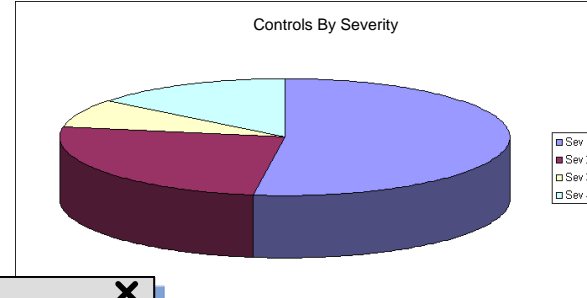
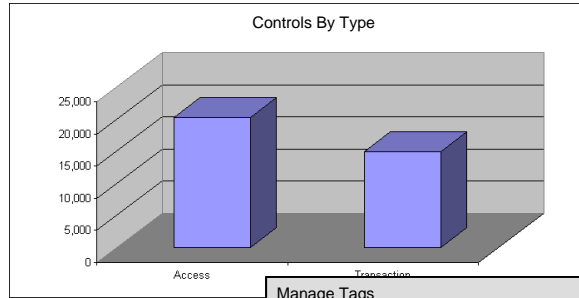
Comments	Run Status	Last Run Date	Last Updated Date	Issues
	Not Started	14-Jan-09		
	Completed	17-Jan-08	17-Jan-08	21
	Error	05-Dec-07	05-Dec-07	6
	Completed	21-Apr-08	21-Apr-08	1
	Completed	12-Mar-08	12-Mar-08	4

Manage Controls

Manage Controls

Tasks

- Manage Controls
- Manage Access Entitlements
- Manage Access Global Conditions
- Create Access Control
- Create Transaction Control
- Manage Transaction Models
- Create Transaction Model
- Manage Participant Groups



Change History

Latest Version **V4 21-Nov-2009**

Date	Revision
21-Nov-2009	<a href="#">4</a>
12-Nov-2009	<a href="#">3</a>
01-Jan-2009	<a href="#">2</a>
01-Jan-2009	<a href="#">1</a>

Controls

Saved Views All Controls

Actions View | [Edit] | [Refresh]

ID	Name	Count	Status	Category	Run Status	Last Run Date	Last Updated Date	Issues
P001	Invoice-Receipt Amount Mis...							
P002	Year-End Sox Compliance...							
P003	Segregation of Duties							
P004	Invoices greater than 200k							
P005	Audit Model for Duplicate...							
P006	Checks for 3-Way Matching	1	Inactive	Financial Fraud	Not Started			
P007	Customer Over Credit Limit	3	Active		Not Started			
P008	Invoice-Receipt Amount Mis...	2	Active	Financial Fraud	Not Started			
P009	New Policy 20	2	Active	Financial Fraud	Not Started			
P010	New Policy 21	1	Active		Not Started			
P011	New Policy 22	1	Active	Breach of Security	Not Started			
P012	New Policy 23	1	Active	Financial Fraud	Not Started			
P013	New Policy 24	8	Active	Breach of Security	Not Started			
P014	New Policy 25	2	Inactive	Financial Fraud	Not Started			
P015	New Policy 26	1	Active	Financial Fraud	Not Started			
P016	New Policy 27	2	Active	Financial Fraud	Not Started			
P017	New Policy 28	4	Active	Financial Fraud	Not Started			
P018	New Policy 29	1	Active	Breach of Security	Not Started			
P019	New Policy 30	1	Active	Financial Fraud	Not Started			

Total Rows: 28 | Rows Selected: 0 | Columns Hidden: 0 | Columns Frozen: 0

**Manage Tags** [X]

Actions +

Action	Status
Add Tag	Active
Manage Tag Values	Active
Procure To Pay	Active
Risk	Active
Overstated Assets	Active
Understated Liabilities	Active
Regulatory Compliance	Active

Ok Cancel

Comments	Run Status	Last Run Date	Last Updated Date	Issues
	Not Started		14-Jan-09	
	Completed	17-Jan-08	17-Jan-08	21
	Error	05-Dec-07	05-Dec-07	6
	Completed	21-Apr-08	21-Apr-08	1
	Completed	12-Mar-08	12-Mar-08	4

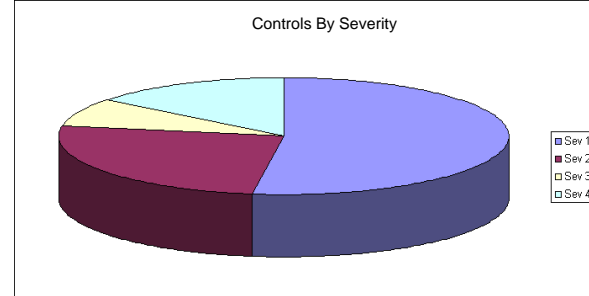
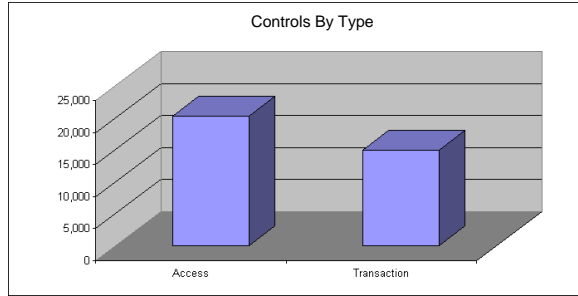




Manage Controls

Manage Controls

- Tasks**
- Manage Controls
  - Manage Access Entitlements
  - Manage Access Global Conditions
  - Create Access Control
  - Create Transaction Control
  - Manage Transaction Models
  - Create Transaction Model
  - Manage Participant Groups



**Change History**

Latest Version **V4 21-Nov-2009**

Date	Revision
21-Nov-2009	4
12-Nov-2009	3
01-Jan-2009	2
01-Jan-2009	1

**Controls**

Saved Views: All Controls

Actions View | [Edit] | [Filter]

ID	Name	Count	Status	Category	Start
P001	Invoice-Receipt Amount M				
P002	Year-End Sox Compliance				
P003	Segregation of Duties				
P004	Invoices greater than 200k				
P005	Audit Model for Duplicate..				
P006	Checks for 3-Way Matching				
P007	Customer Over Credit Limi				
P008	Invoice-Receipt Amount M				
P009	New Policy 20	2	Active	Financial Fraud	Not Started
P010	New Policy 21	1	Active		Not Started
P011	New Policy 22	1	Active	Breach of Security	Not Started
P012	New Policy 23	1	Active	Financial Fraud	Not Started
P013	New Policy 24	8	Active	Breach of Security	Not Started
P014	New Policy 25	2	Inactive	Financial Fraud	Not Started
P015	New Policy 26	1	Active	Financial Fraud	Not Started
P016	New Policy 27	2	Active	Financial Fraud	Not Started
P017	New Policy 28	4	Active	Financial Fraud	Not Started
P018	New Policy 29	1	Active	Breach of Security	Not Started
P019	New Policy 30	1	Active	Financial Fraud	Not Started

Total Rows: 28 | Rows Selected: 0 | Columns Hidden: 0 | Columns Frozen: 0

**Manage Tags**

Actions +

Name	Status
Business P	
Order T	
Procure	
Risk	
Oversta	
Understa	
Regulat	
Control B	

Manage Tag Values

Tag: Control Book

Actions +

Name	Status
	Active

Ok Cancel

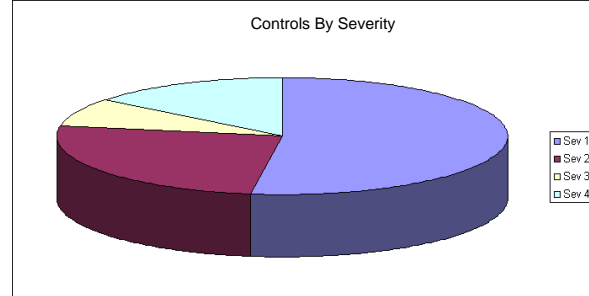
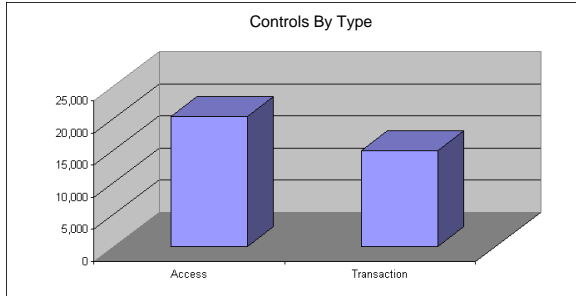
Last Run Date	Last Updated Date	Issues
	14-Jan-09	
17-Jan-08	17-Jan-08	21
05-Dec-07	05-Dec-07	6
21-Apr-08	21-Apr-08	1
12-Mar-08	12-Mar-08	4

Manage Controls

Manage Controls

**Tasks**

- Manage Controls
- Manage Access Entitlements
- Manage Access Global Conditions
- Create Access Control
- Create Transaction Control
- Manage Transaction Models
- Create Transaction Model
- Manage Participant Groups



**Change History**

Latest Version **V4 21-Nov-2009**

Date	Revision
21-Nov-2009	4
12-Nov-2009	3
01-Jan-2009	2
01-Jan-2009	1

Controls					
Saved Views		All Controls			
Actions		View			
ID	Name	Count	Status	Category	Run Status
P001	Invoice-Receipt Amount M		Active		
P002	Year-End Sox Compliance		Active		
P003	Segregation of Duties		Active		
P004	Invoices greater than 200K		Active		
P005	Audit Model for Duplicate...		Active		
P006	Checks for 3-Way Matchin		Active		
P007	Customer Over Credit Limi		Active		
P008	Invoice-Receipt Amount M		Active		
P009	New Policy 20	2	Active	Financial Fraud	Not Started
P010	New Policy 21	1	Active		Not Started
P011	New Policy 22	1	Active	Breach of Security	Not Started
P012	New Policy 23	1	Active	Financial Fraud	Not Started
P013	New Policy 24	8	Active	Breach of Security	Not Started
P014	New Policy 25	2	Inactive	Financial Fraud	Not Started
P015	New Policy 26	1	Active	Financial Fraud	Not Started
P016	New Policy 27	2	Active	Financial Fraud	Not Started
P017	New Policy 28	4	Active	Financial Fraud	Not Started
P018	New Policy 29	1	Active	Breach of Security	Not Started
P019	New Policy 30	1	Active	Financial Fraud	Not Started

Manage Tags					
Actions +					
Name	Status				
Business Process	Active				
Order To Cash	Active				
Procure To Pay	Active				
Risk	Active				
Overstated Assets	Active				
Understated Liabilities	Active				
Regulatory Compliance	Active				
Control Book	Active				
NA Suppliers	Active				

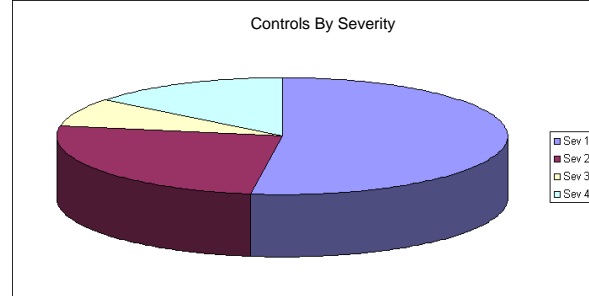
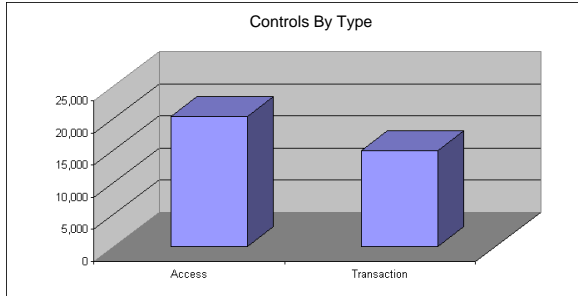
Comments	Run Status	Last Run Date	Last Updated Date	Issues
	Not Started		14-Jan-09	
	Completed	17-Jan-08	17-Jan-08	21
	Error	05-Dec-07	05-Dec-07	6
	Completed	21-Apr-08	21-Apr-08	1
	Completed	12-Mar-08	12-Mar-08	4
	Not Started			
	Not Started			
	Not Started			
	Not Started			
	Not Started			
	Not Started			
	Not Started			
	Not Started			
	Not Started			
	Not Started			
	Not Started			
	Not Started			
	Not Started			
	Not Started			
	Not Started			
	Not Started			

Total Rows: 28 | Rows Selected: 0 | Columns Hidden: 0 | Columns Frozen: 0

Manage Controls

Manage Controls

- Tasks**
- Manage Controls
  - Manage Access Entitlements
  - Manage Access Global Conditions
  - Create Access Control
  - Create Transaction Control
  - Manage Transaction Models
  - Create Transaction Model
  - Manage Participant Groups



**Controls**

Saved Views: All Controls

Actions View | Assign Add Comments Run Schedule Cancel Process

ID	Name	Priority	Status	Type	Risk	Comments	Run Status	Last Run Date	Last Updated Date	Issues
P001	<a href="#">Vendor Invoices exceeding ...</a>	1	Active	Transaction			Not Started		28-Jan-10	
P002	<a href="#">Invoices greater than 200k</a>	5	Active	Transaction			Not Started		28-Jan-10	
P003	<a href="#">Create Supplier &amp; Pay Sup...</a>	2	Active	Access			Not Started		28-Jan-10	
P004	<a href="#">Segregation of Duties</a>	1	Inactive	Access	Financial Fraud		Completed	21-Apr-08	21-Apr-08	1
P005	<a href="#">Audit Model for Duplicate...</a>	1	Active	Transaction	Financial Fraud		Completed	12-Mar-08	12-Mar-08	4
P006	<a href="#">Checks for 3-Way Matching</a>	1	Inactive		Financial Fraud		Not Started			
P007	<a href="#">Customer Over Credit Limit</a>	3	Active				Not Started			
P008	<a href="#">Invoice-Receipt Amount Mis...</a>	2	Active		Financial Fraud		Not Started			
P009	<a href="#">New Policy 20</a>	2	Active		Financial Fraud		Not Started			
P010	<a href="#">New Policy 21</a>	1	Active				Not Started			
P011	<a href="#">New Policy 22</a>	1	Active		Breach of Security		Not Started			
P012	<a href="#">New Policy 23</a>	1	Active		Financial Fraud		Not Started			
P013	<a href="#">New Policy 24</a>	8	Active		Breach of Security		Not Started			
P014	<a href="#">New Policy 25</a>	2	Inactive		Financial Fraud		Not Started			
P015	<a href="#">New Policy 26</a>	1	Active		Financial Fraud		Not Started			
P016	<a href="#">New Policy 27</a>	2	Active		Financial Fraud		Not Started			
P017	<a href="#">New Policy 28</a>	4	Active		Financial Fraud		Not Started			
P018	<a href="#">New Policy 29</a>	1	Active		Breach of Security		Not Started			
P019	<a href="#">New Policy 30</a>	1	Active		Financial Fraud		Not Started			

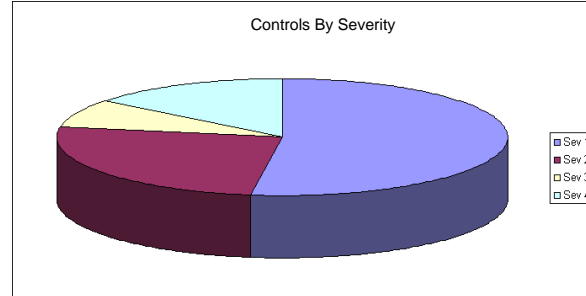
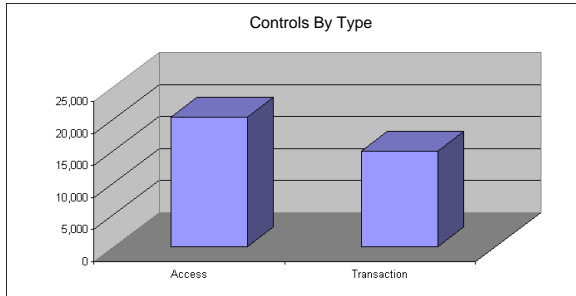
Total Rows: 28 | Rows Selected: 0 | Columns Hidden: 0 | Columns Frozen: 0

Manage Controls

Manage Controls

Tasks

- Manage Controls
- Manage Access Entitlements
- Manage Access Global Conditions
- Create Access Control
- Create Transaction Control
- Manage Transaction Models
- Create Transaction Model
- Manage Participant Groups



Controls

Saved Views All Controls

Actions View | | | Assign Add Comments Run Schedule Cancel Process

ID	Name	Priority	Status	Type	Risk	Comments	Run Status	Last Run Date	Last Updated Date	Issues
P001	Vendor Invoices exceeding ...	1	Active	Transaction			Not Started		28-Jan-10	
P002	Invoices greater than 200k	5	Active	Transaction			Not Started		28-Jan-10	
P003	Create Supplier & Pay Sup...	2	Active	Access			Not Started		28-Jan-10	
P004	Segregation of Duties	1	Inactive	Access	Financial Fraud		Completed	21-Apr-08	21-Apr-08	1
P005	Audit Model for Duplicate...	1	Active	Transaction	Financial Fraud		Completed	12-Mar-08	12-Mar-08	4
P006	Checks for 3-Way Matching	1	Inactive		Financial Fraud		Not Started			
P007	Customer Over Credit Limit	3	Active				Not Started			
P008	Invoice-Receipt Amount Mis...	2	Active		Financial Fraud		Not Started			
P009	New Policy 20	2	Active		Financial Fraud		Not Started			
P010	New Policy 21	1	Active				Not Started			
P011	New Policy 22	1	Active		Breach of Security		Not Started			
P012	New Policy 23	1	Active		Financial Fraud		Not Started			
P013	New Policy 24	8	Active		Breach of Security		Not Started			
P014	New Policy 25	2	Inactive		Financial Fraud		Not Started			
P015	New Policy 26	1	Active		Financial Fraud		Not Started			
P016	New Policy 27	2	Active		Financial Fraud		Not Started			
P017	New Policy 28	4	Active		Financial Fraud		Not Started			
P018	New Policy 29	1	Active		Breach of Security		Not Started			
P019	New Policy 30	1	Active		Financial Fraud		Not Started			

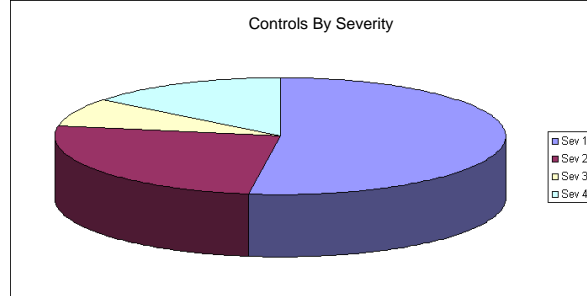
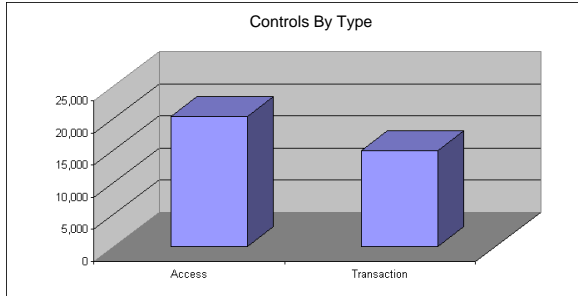
Total Rows: 28 | Rows Selected: 0 | Columns Hidden: 0 | Columns Frozen: 0



Manage Controls

Manage Controls

- Tasks**
- Manage Controls
  - Manage Access Entitlements
  - Manage Access Global Conditions
  - Create Access Control
  - Create Transaction Control
  - Manage Transaction Models
  - Create Transaction Model
  - Manage Participant Groups



Controls

Saved Views: All Controls

Actions View | Assign Add Comments Run Schedule Cancel Process

- Open
- Edit
- Copy
- Assign
- Add Comments
- Run
- Schedule
- Cancel Process
- Manage Tags
- Create Access Control
- Create Transaction Control
- Import Policies
- Export Policies

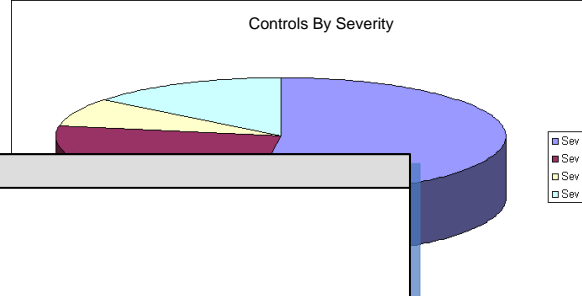
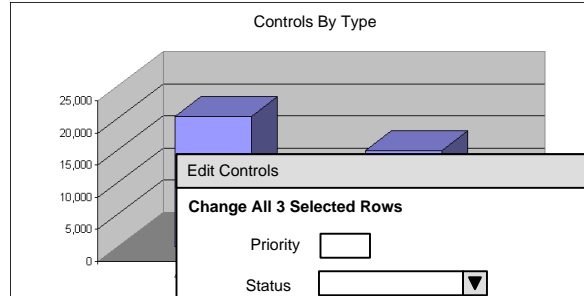
	Priority	Status	Type	Risk	Comments	Run Status	Last Run Date	Last Updated Date	Issues
eeding ...	1	Active	Transaction			Not Started		28-Jan-10	
200k	5	Active	Transaction			Not Started		28-Jan-10	
y Sup...	2	Active	Access			Not Started		28-Jan-10	
s	1	Inactive	Access	Financial Fraud		Completed	21-Apr-08	21-Apr-08	1
cate...	1	Active	Transaction	Financial Fraud		Completed	12-Mar-08	12-Mar-08	4
atching	1	Inactive		Financial Fraud		Not Started			
it Limit	3	Active				Not Started			
unt Mis...	2	Active		Financial Fraud		Not Started			
P009	New Policy 20	2	Active		Financial Fraud	Not Started			
P010	New Policy 21	1	Active			Not Started			
P011	New Policy 22	1	Active		Breach of Security	Not Started			
P012	New Policy 23	1	Active		Financial Fraud	Not Started			
P013	New Policy 24	8	Active		Breach of Security	Not Started			
P014	New Policy 25	2	Inactive		Financial Fraud	Not Started			
P015	New Policy 26	1	Active		Financial Fraud	Not Started			
P016	New Policy 27	2	Active		Financial Fraud	Not Started			
P017	New Policy 28	4	Active		Financial Fraud	Not Started			
P018	New Policy 29	1	Active		Breach of Security	Not Started			
P019	New Policy 30	1	Active		Financial Fraud	Not Started			

Total Rows: 28 | Rows Selected: 0 | Columns Hidden: 0 | Columns Frozen: 0

Manage Controls

Manage Controls

- Tasks**
- Manage Controls
  - Manage Access Entitlements
  - Manage Access Global Conditions
  - Create Access Control
  - Create Transaction Control
  - Manage Transaction Models
  - Create Transaction Model
  - Manage Participant Groups



Controls

Saved Views All

Actions View

ID	Name
P001	Invoice-Receipt
P002	Year-End Sox
P003	Segregation of
P004	Invoices create
P005	Audit Model for
P006	Checks for 3-W
P007	Customer Over
P008	Invoice-Receipt
P009	New Policy 20
P010	New Policy 21

ID	Name	Count	Status	Category	Severity	Start Date	Last Updated Date	Issues
P011	New Policy 22	1	Active	Breach of Security	Sev 1	Not Started	14-Jan-09	
P012	New Policy 23	1	Active	Financial Fraud	Sev 1	Not Started	17-Jan-08	21
P013	New Policy 24	8	Active	Breach of Security	Sev 1	Not Started	05-Dec-07	6
P014	New Policy 25	2	Inactive	Financial Fraud	Sev 1	Not Started	21-Apr-08	1
P015	New Policy 26	1	Active	Financial Fraud	Sev 1	Not Started	12-Mar-08	4
P016	New Policy 27	2	Active	Financial Fraud	Sev 1	Not Started		
P017	New Policy 28	4	Active	Financial Fraud	Sev 1	Not Started		
P018	New Policy 29	1	Active	Breach of Security	Sev 1	Not Started		
P019	New Policy 30	1	Active	Financial Fraud	Sev 1	Not Started		

Total Rows: 28 | Rows Selected: 0 | Columns Hidden: 0 | Columns Frozen: 0

**Edit Controls**

**Change All 3 Selected Rows**

Priority

Status

Tags

**Available Tags**

- Business Process
  - Financial Management
  - IT
  - Manufacturing
  - Order to Cash
  - Procure to Pay
- Risk
  - Breach of Security
  - Financial Fraud
  - Regulatory Compliance
  - Theft of Assets
- Controls Book
  - NA-Suppliers

**Selected Tags**

Move All

Remove

Remove All

Save and Close Cancel

Change History

Latest Version V4 21-Nov-2009

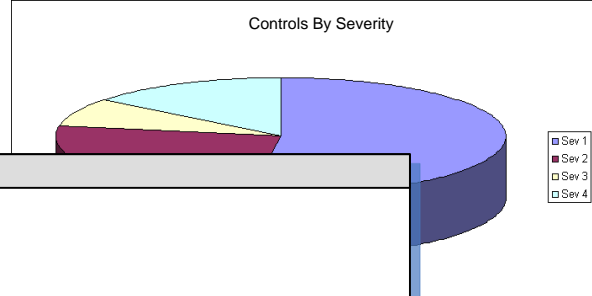
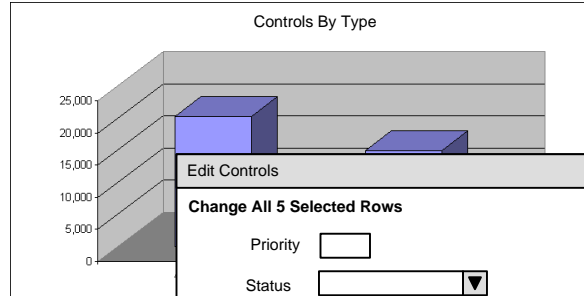
Date	Revision
21-Nov-2009	4
12-Nov-2009	3
01-Jan-2009	2
01-Jan-2009	1

Last Updated Date	Issues
14-Jan-09	
17-Jan-08	21
05-Dec-07	6
21-Apr-08	1
12-Mar-08	4

Manage Controls

Manage Controls

- Tasks**
- Manage Controls
  - Manage Access Entitlements
  - Manage Access Global Conditions
  - Create Access Control
  - Create Transaction Control
  - Manage Transaction Models
  - Create Transaction Model
  - Manage Participant Groups



**Change History**

Latest Version **V4 21-Nov-2009**

Date	Revision
21-Nov-2009	4
12-Nov-2009	3
01-Jan-2009	2
01-Jan-2009	1

Controls

Saved Views All

Actions View

ID	Name
P001	Invoice-Receipt
P002	Year-End Sox
P003	Segregation of
P004	Invoices create
P005	Audit Model for
P006	Checks for 3-W
P007	Customer Over
P008	Invoice-Receipt
P009	New Policy 20
P010	New Policy 21

ID	Name	Count	Status	Category	Severity	Start Date	Issues
P011	New Policy 22	1	Active	Breach of Security	Sev 1	Not Started	
P012	New Policy 23	1	Active	Financial Fraud	Sev 1	Not Started	
P013	New Policy 24	8	Active	Breach of Security	Sev 1	Not Started	
P014	New Policy 25	2	Inactive	Financial Fraud	Sev 1	Not Started	
P015	New Policy 26	1	Active	Financial Fraud	Sev 1	Not Started	
P016	New Policy 27	2	Active	Financial Fraud	Sev 1	Not Started	
P017	New Policy 28	4	Active	Financial Fraud	Sev 1	Not Started	
P018	New Policy 29	1	Active	Breach of Security	Sev 1	Not Started	
P019	New Policy 30	1	Active	Financial Fraud	Sev 1	Not Started	

Total Rows: 28 | Rows Selected: 0 | Columns Hidden: 0 | Columns Frozen: 0

**Edit Controls**

**Change All 5 Selected Rows**

Priority

Status

Tags

**Available Tags**

- Business Process
  - Financial Management
  - IT
  - Manufacturing
  - Order to Cash
  - Procure to Pay
- Risk
  - Breach of Security
  - Financial Fraud
  - Regulatory Compliance
  - Theft of Assets
- Controls Book
  - NA-Suppliers

Move All

Remove

Remove All

**Selected Tags**

- Risk
  - Financial Fraud
- Controls Book
  - NA-Suppliers

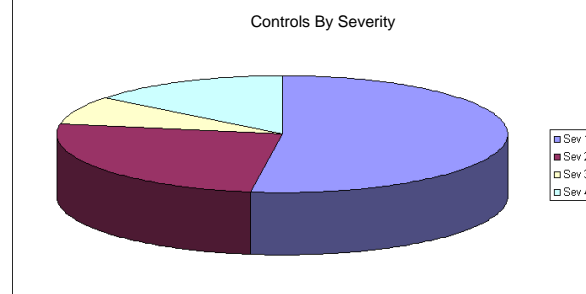
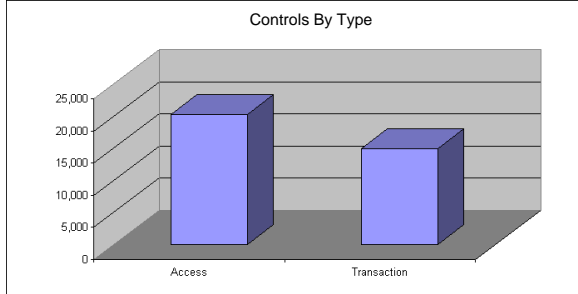
Save and Close Cancel

Last Updated Date	Issues
14-Jan-09	
17-Jan-08	21
05-Dec-07	6
21-Apr-08	1
12-Mar-08	4

Manage Controls

Manage Controls

- Tasks**
- Manage Controls
  - Manage Access Entitlements
  - Manage Access Global Conditions
  - Create Access Control
  - Create Transaction Control
  - Manage Transaction Models
  - Create Transaction Model
  - Manage Participant Groups



**Controls**

Saved Views: All Controls

Actions View | Assign Add Comments Run Schedule Cancel Process

ID	Name	Priority	Status	Type	Risk	Comments	Run Status	Last Run Date	Last Updated Date	Issues
P001	<a href="#">Vendor Invoices exceeding ...</a>	1	Active	Transaction	Financial Fraud		Not Started		28-Jan-10	
P002	<a href="#">Invoices greater than 200k</a>	5	Active	Transaction	Financial Fraud		Not Started		28-Jan-10	
P003	<a href="#">Create Supplier &amp; Pay Sup...</a>	2	Active	Access	Financial Fraud		Not Started		28-Jan-10	
P004	<a href="#">Segregation of Duties</a>	1	Inactive	Access	Financial Fraud		Completed	21-Apr-08	21-Apr-08	1
P005	<a href="#">Audit Model for Duplicate...</a>	1	Active	Transaction	Financial Fraud		Completed	12-Mar-08	12-Mar-08	4
P006	<a href="#">Checks for 3-Way Matching</a>	1	Inactive		Financial Fraud		Not Started			
P007	<a href="#">Customer Over Credit Limit</a>	3	Active				Not Started			
P008	<a href="#">Invoice-Receipt Amount Mis...</a>	2	Active		Financial Fraud		Not Started			
P009	<a href="#">New Policy 20</a>	2	Active		Financial Fraud		Not Started			
P010	<a href="#">New Policy 21</a>	1	Active				Not Started			
P011	<a href="#">New Policy 22</a>	1	Active		Breach of Security		Not Started			
P012	<a href="#">New Policy 23</a>	1	Active		Financial Fraud		Not Started			
P013	<a href="#">New Policy 24</a>	8	Active		Breach of Security		Not Started			
P014	<a href="#">New Policy 25</a>	2	Inactive		Financial Fraud		Not Started			
P015	<a href="#">New Policy 26</a>	1	Active		Financial Fraud		Not Started			
P016	<a href="#">New Policy 27</a>	2	Active		Financial Fraud		Not Started			
P017	<a href="#">New Policy 28</a>	4	Active		Financial Fraud		Not Started			
P018	<a href="#">New Policy 29</a>	1	Active		Breach of Security		Not Started			
P019	<a href="#">New Policy 30</a>	1	Active		Financial Fraud		Not Started			

Total Rows: 28 | Rows Selected: 0 | Columns Hidden: 1 | Columns Frozen: 0